# **Original article**

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# Thyroid dysfunction in very low birth weight preterm infants

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**Purpose:** Thyroid dysfunction is common in preterm infants. Congenital hypothyroidism causes neurodevelopmental impairment, which is preventable if properly treated. This study was conducted to describe the characteristics of thyroid dysfunction in very low birth weight infants (VLBWIs), evaluate risk factors of hypothyroidism, and suggest the reassessment of thyroid function with an initially normal thyroid-stimulating hormone (TSH) as part of a newborn screening test.

**Methods:** VLBWIs (January 2010 to December 2012) were divided into two groups according to dysfunction-specific thyroid hormone replacement therapy, and associated factors were evaluated.

Results: Of VLBWIs, 246 survivors were enrolled. Only 12.2% (30/246) of enrolled subjects exhibited thyroid dysfunction requiring thyroid hormone replacement. Moreover, only one out of 30 subjects who required thyroid hormone treatment had abnormal thyroid function in the newborn screening test with measured TSH. Most of the subjects in the treatment group (22/30) exhibited delayed TSH elevation. Gestational age, Apgar score, antenatal steroids therapy, respiratory distress syndrome, patent ductus arteriosus, sepsis, intraventricular hemorrhage, postnatal steroids therapy, and duration of mechanical ventilation did not differ between the two groups. Birth weight was smaller and infants with small for gestational age were more frequent in the treatment group.

**Conclusion:** Physicians should not rule out suggested hypothyroidism, even when thyroid function of a newborn screening test is normal. We suggest retesting TSH and free thyroxine in high risk preterm infants with an initially normal TSH level using a newborn screening test.

**Key words:** Congenital hypothyroidism, Neonatal screening, Premature infant, Thyroid function tests, Very low birth weight infant

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# Introduction

Congenital hypothyroidism causes neurodevelopmental impairment which is preventable if treated properly<sup>1</sup>. Neurodevelopmental impairment caused by congenital hypothyroidism has decreased since the worldwide introduction of newborn screening tests.

Hypothyroidism is more common in preterm infants than in term infants, especially in sick preterm infants<sup>2]</sup>. Preterm infants have lower levels of tri-iodothyronine (T3) and free thyroxine (T4) than term infants during the first several weeks, which is more severe in the smallest and least mature preterm infants<sup>3]</sup>. Several physiologic and nonphysiologic factors are known to contribute to hypothyroidism in preterm infants, including an immaturity of the hypothalamic-pituitary-thyroid axis, an immaturity of thyroidal capacity to concentrate and synthesize iodine, an immaturity of thyroid hormonal metabolism, an increase of thyroid hormone requirement needs for thermogenesis and disease of preterm infants, iodine insufficiency and iodine excess<sup>3]</sup>.

Transient hypothyroxinemia with decreased free T4 levels is self-limiting and common

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in preterm infants. It is unclear whether the administration of thyroid hormone in transient hypothyroxinemia improves neurodevelopmental outcome, morbidity and mortality. Transient hyperthyrotropinemia with elevated thyroid-stimulating hormone (TSH) is also common in preterm infants. Initial hyperthyrotropinemia can lead to persistent hyperthyrotropinemia or permanent hypothyroidism requiring thyroid hormone replacement therapy, or transient hypothyroidism that is self-limiting. Preterm infants are at significant risk of congenital hypothyroidism, which is unpredictable in its evolution to transient or permanent<sup>4</sup>.

Three screening strategies are used for congenital hypothyroidism; a primary TSH method, a primary T4 method, and a combined primary TSH and T4 method. The newborn screening test in Korea uses a primary TSH method, which does not check free T4 levels. However, primary TSH is not elevated, which is particularly common in preterm infants, in thyroid-binding globulin (TBG) deficiency, central hypothyroidism, and hypothyroxinemia with delayed TSH elevation<sup>5</sup>. As a result, some congenital hypothyroidism cases with normal initial TSH levels go undetected, when using the single TSH approach 48 hours to 7 days postnatal.

Therefore, it is critical to screen congenital hypothyroidism in preterm infants, to prevent and minimize neurodevelopmental impairment. This study was conducted to describe the characteristics of thyroid dysfunction in very low birth weight preterm infants, evaluate risk factors of hypothyroidism in preterm infants, and recommend retesting a second or third specimen for thyroid function in high-risk preterm infants that registered normal initial TSH levels during the newborn screening test.

# Materials and methods

# 1. Patients

Protocol of this study was reviewed and approved by the Institutional Review Board of the Inje University Busan Paik Hospital. Very low birth weight infants (VLBWIs) weighing less than 1,500 g admitted to the neonatal intensive care unit (NICU) of Inje University Busan Paik Hospital from January 2010 to December 2012 were enrolled.

Infants were allocated to a treatment group or nontreatment group based on whether thyroid hormone replacement therapy was administered for persistent thyroid dysfunction.

### 2. Study protocol

Clinical data were collected retrospectively from medical records. Various perinatal and postnatal factors that may influence thyroid dysfunction were evaluated.

Newborn screening test was done and thyroid function tests,

including serum TSH and free T4 levels were measured at 7 days postnatal. If results were normal, levels were rechecked at 4 weeks postnatal and at discharge. If results were abnormal, levels were rechecked after 2 weeks. The patients with abnormal results in the 2nd exam were defined as 'persistent', and we started treatment. Thyroid hormone replacement therapy began previous to 4 weeks postnatal with 10 to 15  $\mu$ g/kg of levothyroxine. Follow-up tests were done 2–4 weeks later after starting treatment. The treatment with levothyroxine was discontinued at 1–3 years postnatal. The patients with normalization in the 2nd exam were defined as 'transient' and didn't need treatment. Low free T4 was defined as a free T4 concentration <0.7 mg/dL, elevated TSH was defined as a TSH concentration >20 mIU/L, hypothyroidism was defined as a free T4 concentration <0.7 mg/dL with a TSH concentration >20 mIU/L.

Demographic factors comprised gestational age, birth weight, gender, Apgar score at 1 and 5 minutes, small for gestational age (SGA), antenatal magnesium sulfate therapy, antenatal corticosteroid therapy, maternal gestational diabetes mellitus (GDM), and maternal pregnancy induced hypertension (PIH).

Morbidity and outcome variables included respiratory distress syndrome (RDS), patent ductus arteriosus (PDA), sepsis, intraventricular hemorrhage (IVH), necrotizing enterocolitis (NEC), retinopathy of prematurity (ROP), postnatal steroids therapy, bronchopulmonary dysplasia (BPD), full enteral feeding day, duration of parenteral nutrition, duration of mechanical ventilation, and hospital stay. SGA was diagnosed when birth weight was below the 10th percentile on a Lubchenco growth curve<sup>7)</sup>. Sepsis was limited to those with a positive blood culture showing clinical signs of systemic infection<sup>8</sup>. IVH was limited to a high grade (≥grade III)9, NEC to a modified Bell stage≥II10, and ROP at a high stage requiring laser therapy<sup>11</sup>. BPD was defined as an oxygen dependency for the first 28 days of life and categorized as mild, moderate, and severe by the severity<sup>12)</sup>. The full enteral feeding day was defined as a postnatal day to achieve 120 mL/ kg/day of feeding.

# 3. Statistical analysis

For continuous variables, a t test or Mann-Whitney U test was performed. For nominal variables, a chi-square test or Fisher exact test was performed. Statistical analysis was performed using SAS 3.0 (SAS Institute Inc., Cary, NC, USA). Data are given as mean $\pm$ standard deviation. P values of <0.05 were con-sidered statistically significant.

# Results

# 1. Thyroid function test

From January 2010 to December 2012, 246 VLBWIs who

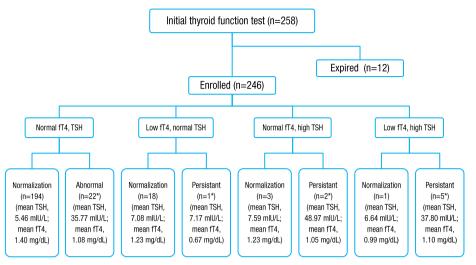


Fig. 1. Outcome of infants with thyroid function tests. A total of 246 prematurity were enrolled in this study and divided by 8 groups. Levothyroxine was prescribed to 30 patients (12.2%). fT4, free thyroxine; TSH, thyroid-stimulating hormone. \*Requiring thyroid hormone replacement.

survived were enrolled and categorized into eight subgroups, according to results of thyroid function tests by the American Academy of Pediatrics, American Thyroid Association, and Lawson Wilkins Pediatric Endocrine Society<sup>5,6)</sup> (Fig. 1). In total, 12.2% (30 of 246) of subjects had thyroid dysfunction that required thyroid hormone replacement. Thirty infants were assigned to the treatment group receiving thyroid replacement therapy and 216 to the nontreatment group. The level of TSH in initial TFT was significantly different between the treatment group and the nontreatment group (19.3±25 mIU/L vs. 5.63±4.4 mIU/L, P<0.01), but the free T4 level was not significantly different (1.16 $\pm$ 0.53 mg/dL vs. 1.32 $\pm$ 0.67 mg/dL, P=0.234). The values of follow-up TFT after treatment with levothyroxine were 5.82±5.24 mIU/L in TSH and 1.44±0.42 mg/dL in free T4 in the treatment group. In the treatment group, 73.3% (22 of 30) had normal initial TFT, elevated TSH in second sample, and persistent hyperthyrotropinemia requiring thyroid hormone replacement therapy. Of 19 hypothyroxinemia cases, 18 were transient, and one was permanent and in need thyroid hormone replacement therapy. Of 30 in the treatment group, two had initial hyperthyrotropinemia and five had congenital hypothyroidism with a low initial free T4 and elevated TSH. Only two of 246 subjects showed abnormal thyroid function in the newborn screening test. One, allocated to the nontreatment group had transient hyperthyrotropinemia and elevated TSH was normalized without treatment. The other, assigned to the treatment group, had persistent hyperthyrotropinemia requiring thyroid hormone replacement.

## 2. Demographic factors

Gestational age, gender ratio and Apgar score at 1 and 5

Table 1. Demographic factors

Demographic factor	Treatment group (n=30)	Nontreatment group (n=216)	<i>P</i> value
Gestational age (wk <sup>+day</sup> )	28 <sup>+1</sup> ±3 <sup>+2</sup>	28 <sup>+3</sup> ±2 <sup>+5</sup>	0.632
Birth weight (g)	935±313	1,060±269	0.021
Male sex	11 (36.7)	109 (50.5)	0.157
Apgar score at 1 min	4.7±1.3	4.3±1.5	0.163
Apgar score at 5 min	6.6±1.2	6.8±1.2	0.374
Small for gestational age	11 (36.7)	29 (13.4)	0.001
Antenatal magnesium sulfate therapy	15 (50.0)	110 (50.9)	0.924
Antenatal corticosteroid therapy	21 (70.0)	166 (76.9)	0.410
Maternal PIH	5 (16.7)	44 (20.4)	0.634
Maternal GDM	0 (0)	13 (6)	0.167

Values are presented as mean±standard deviation or number (%). PIH, pregnancy induced hypertension; GDM, gestational diabetes mellitus.

minutes were not different between the two groups. Antenatal magnesium sulfate therapy, antenatal corticosteroid therapy, maternal GDM, and maternal PIH were not significantly different between the two groups. Birth weight was lower in the treatment group compared to the nontreatment group (935 $\pm$ 313 g vs. 1060 $\pm$ 269 g, P=0.021). SGA was more frequent in the treatment group than nontreatment group (36.7% vs. 13.4%, P=0.001). There were more extremely low birth weight infants (ELBWIs) in the treatment group, but the difference was not statistically significant (56.7% vs. 38.4%, P=0.057) (Table 1).

### 3. Morbidities and clinical outcomes

RDS, PDA, sepsis, IVH (≥grade III), NEC (≥stage II), ROP (requiring laser therapy), postnatal steroids therapy and BPD

Table 2. Morbidity and outcomes

Variable	Treatment group (n=30)	Nontreatment group (n=216)	<i>P</i> value
Respiratory distress syndrome	25 (83.3)	193 (89.4)	0.331
Patent ductus artreriosus	18 (60)	131 (60.6)	0.946
Sepsis	6 (20)	32 (14.8)	0.462
IVH (≥grade III)	5 (16.7)	21 (9.7)	0.246
NEC (≥stage II)	1 (3.3)	4 (1.9)	0.590
ROP (requiring laser op.)	9 (30.0)	39 (18.1)	0.122
Postnatal steroid therapy	10 (33.3)	70 (32.4)	0.919
BPD (≥moderate)	6 (20.0)	20 (9.3)	0.073
Full enteral feeding day (day)	24±13	21±11	0.217
Duration of PN (day)	22±15	19±15	0.300
Duration of mechanical ventilation (day)	24±19	20±12	0.379
Hospital stay (day)	79±32	69±25	0.042

Values are presented as mean±standard deviation or number (%). IVH, intraventricular hemorrhage; NEC, necrotizing enterocolitis; ROP, retinopathy of prematurity; BPD, bronchopulmonary dysplasia; PN, parenteral nutrition.

(moderate to severe) were not significantly different between the two groups. Full enteral feeding day, duration of parenteral nutrition and duration of mechanical ventilation were not different. Prolonged hospital stay was observed in the treatment group (79 days vs. 69 days, *P*=0.042) (Table 2).

### Discussion

The fetal hypothalamic-pituitary-thyroid axis begins to function after the first trimester and is completed at the term gestation. As a result, hypothyroidism is common in preterm infants due to immaturity of thyroid hormonal regulation and increased demand for thyroid hormone by certain diseases of preterm infants, not congenital abnormalities of the thyroid gland<sup>3</sup>. Some cases of thyroid dysfunction in preterm infants are transient and resolved with postnatal maturation without thyroid hormone treatment<sup>13</sup>.

Transient hypothyroxinemia is self-limiting and more common in preterm infants, occuring in 20% of preterm infants with a gestational age <34 weeks and 29% in VLBWIs with a gestational age <32 weeks<sup>14,15)</sup>. The benefits of thyroid hormone treatment for transient hypothyroxinemia in preterm infants remain unknown and the optimal follow-up duration has not been determined. Therefore, it is unclear whether the administration of thyroid hormone in preterm infants reduces neonatal morbidity and mortality or improves neurodevelopmental outcome. Studies reported that prophylactic replacement of thyroid hormone in preterm infants is ineffective and does not lower neonatal morbidity, mortality or neurodevelopmental disabilities<sup>16,17)</sup>.

Transient hypothyroxinemia is more common in the smallest and least mature preterm infants with an increased risk of neurodevelopmental disability and cerebral palsy and decreased mental developmental index and psychomotor developmental index. Van Wassenaer and Kok<sup>3)</sup> reported that low thyroid hormone levels may be associated with neurodevelopmental impairments in preterm infants. They previously reported that low free T4 levels in the first postnatal month were related to poor neurodevelopmental outcome in preterm infants with a gestational age <30 weeks<sup>18</sup>.

It is unclear whether thyroid hormone treatment improves neurodevelopmental outcome or thyroid dysfunction in preterm infants. Delayed replacement of thyroid hormone in infants with congenital hypothyroidism may have a significant adverse effect on the neurodevelopmental outcome of high-risk preterm infants<sup>19</sup>. The evolution of congenital hypothyroidism to transient or permanent is difficult to predict. Further studies are required to determine whether transient hypothyroxinemia of prematurity affects neurodevelopmental outcome, and the effectiveness and extent of thyroid hormone treatment in preterm infants.

Preterm infants in NICUs are subjected to concomitant drugs, such as dopamine, metoclopramide, aminophylline and steroids to treat their illness, which result in transient thyroid dysfunction and affect screening test results 13,20). Metoclopramide, a dopamine receptor antagonist used to prompt gastric emptying in gastrointestinal dysmotility, induces a significant TSH release that results in thyroid dysfunction<sup>20)</sup>. Aminophylline and caffeine, and their active metabolite theophylline, are used as respiratory stimulants in apnea of prematurity. They increase the expression of TSH and thyroglobulin that result in thyroid dysfunction<sup>21)</sup>. Antenatal steroids reduce the risk of RDS and postnatal steroids to accelerate lung maturation. Steroids have various effects on thyroid function, such as inhibition of TSH release, reduction of free T4 and TBG, decreased conversion of T4 to T3 and increased renal iodine clearance. Steroids may contribute to poor neurodevelopmental outcome through adverse side effects or by inducing thyroid dysfunction<sup>22-24]</sup>. This study found no difference in frequency between the nontreatment group and treatment group administered antenatal and postnatal corticosteroid.

Preterm infants have a higher risk of contracting certain diseases. The effect of RDS on serum thyroid hormone levels remains unresolved, and studies revealed conflicting findings. Some studies suggested that RDS may affect thyroid dysfunction<sup>25,26</sup>, while others concluded that there is no or marginal association between RDS and thyroid dysfunction<sup>21</sup>. Williams et al.<sup>21</sup> reported that late onset sepsis markedly decreased T4, T3, and TBG. They say that RDS, IVH, NEC, as well as late onset sepsis affect thyroid hormone level and thyroid dysfunction induced by acute inflammatory cytokine response. Carrascosa et al.<sup>21</sup> concluded that hypothyroidism is more common in sick

preterm infants with PDA and treated with inotropics. In this study, the incidence of RDS, IVH (≥grade III), NEC (≥stage II), sepsis, and PDA were not significantly increased in the treatment group needing thyroid hormone replacement. Compared to the nontreatment group, ELBWIs were more frequent in the treatment group without statistical difference, and infants with SGA were more frequent in the treatment group in our study. Based on these results SGA or ELBWIs may have more thyroid dysfunction. Van Wassenaer and Kok³¹ reported similar results, which found that smaller and less mature preterm infants have significantly lower T3 and free T4 levels than more mature preterm infants or term infants.

In one study, 0.4% (44 of 2,647) of VLWBIs with a gestational age <32 weeks had elevated TSH, 66% (29 of 44) elevated TSH was delayed TSH elevation and 25% (11 of 44) elevated TSH requiring thyroid hormone replacement therapy<sup>27)</sup>. Five of 11 requiring thyroid hormone therapy were found in the second specimen, and five in the third specimen. The researchers worried that neonatal screening for congenital hypothyroidism is not well established in preterm infants. They concluded that specific protocol regarding routine resample to rescreen congenital hypothyroidism in VLBWIs with a gestational age<32 weeks is required. In this study, 9.8% (24 of 246) of VLBWIs had elevated TSH and 91.6% (22 of 24) had delayed TSH elevation. The 22 VLBWIs with delayed TSH elevation had normal TFT in the first sample, and elevated TSH in the second sample. Had retesting not been performed, thyroid dysfunction in VLBWIs would have remained undetected.

Screening programs for congenital hypothyroidism vary by country, with each selecting form the TSH method, T4 method, or the TSH and T4 combination. The ideal screening method is combined TSH and T4, which is more costly than a primary TSH or T4 method. However, primary TSH test can fail to identify TBG deficiency, central hypothyroidism, hypothyroxinemia with delayed TSH elevation, which is more common in preterm infants with low birth weight or very low birth weight. Mandel et al. <sup>28)</sup> suggested that T4 measurements should be performed routinely with second blood specimens from all low birth weight infants and VLBWIs after screening with a primary TSH method. A primary T4 test can fail to identify infants with normal T4 and increased TSH. A combined TSH and T4 test is the ideal screening approach, circumventing potential limitations of TSH or T4 measurements, when screening for congenital hypothyroidism.

The newborn screening test in Korea only includes TSH, not the free T4 level due to cost effectiveness. In some states of United States, TSH and T4 levels in VLBWIs have been measured at 2, 6, and 10 weeks postnatal in addition to initial newborn screening since 1996, as set by policies of the New England Newborn Screening Program<sup>28)</sup>. Larson et al.<sup>29)</sup> reported that retesting thyroid function of VLBWIs in the NICU is important, because not all screening programs measure T4 or track low T4. Thyroid

function of VLBWIs be retested at 2 and 4 weeks postnatal to maximize detection of congenital hypothyroidism and start medication early.

In conclusion, thyroid dysfunction in VLBWIs is common. In this study, more than 10% of VLBWIs (12.2%, 30 of 246) had thyroid dysfunction that required thyroid hormone replacement. However, only one patient registered positive for abnormal thyroid function in the newborn screening test with TSH. The cost of diagnosing congenital hypothyroidism at an older age, and treating and educating a developmentally impaired person at an older age due to untreated congenital hypothyroidism, can be much higher than the cost of screening with a combined TSH and T4 method or retesting thyroid function in VLBWIs<sup>5)</sup>. Therefore, we recommend retesting thyroid function with TSH and free T4 measurements in high risk preterm infants that presented normal initial TSH level in newborn screening test. Physicians should not rule out hypothyroidism if signs or symptoms suggest hypothyroidism, even when the newborn screening test reports normal thyroid function. Serum free T4 and TSH measurements with second or third specimens should be performed when clinical symptoms suggest hypothyroidism, despite newborn screening results.

# Conflict of interest

No potential conflict of interest relevant to this article was reported.

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